

## Registration of Trustee in the money laundering register under the Measures against Money Laundering and the Financing of Terrorism Act (2009:62)

### 1. Scope and definitions

#### Scope of the Act

**Section 1** This Act aims to prevent financial activities and other business operations being used for money laundering or financing of terrorism.

**Section 2** /comes into effect on 1 July 2014/ This Act applies to natural persons and legal entities that engage in

1. banking or financial business under the Banking and Financial Business Act (2004:297),
2. life assurance business,
3. activities of the kind described in Chapter 2, section 1, of the Securities Market Act (2007:528),
4. activities that require notification or application to the Swedish Financial Supervisory Authority (*Finansinspektionen*) under the Obligation to Notify Certain Financial Operations Act (1996:1006) or the Deposit Taking Operations Act (2004:299),
5. insurance mediation under the Insurance Mediation Act (2005:405) regarding such activities relating to life assurance conducted by persons other than associated insurance mediators,
6. activities relating to the issue of electronic money under the Electronic Money Act (2011:755),
7. mutual fund activities under the Investment Funds Act (2004:46),
8. activities conducted by estate agents with full registration under the Estate Agents Act (2011:666)
9. activities for casino gambling under the Casino Act (1999:355),
10. activities conducted by approved or authorised public accountants or a registered public accounting firm,
11. professional activities relating to bookkeeping services or auditing services, but which are not covered by point 10,
12. professional activities consisting of the provision of advice with a view to influencing the amount of a tax or charge (tax advisor),
13. professional activities conducted by attorneys or associates at law offices to the extent that these activities refer to services mentioned in the first paragraph of section 3,
14. professional activities conducted by independent legal professionals other than those referred to in point 13 to the extent that these activities refer to services mentioned in the first paragraph of section 3,
15. professional activities to the extent that these activities refer to services mentioned in the second paragraph of section 3 and the party engaged in activities are not such persons as mentioned in points 10 to 14,
16. professional trade in goods, to the extent that these activities relate to sales for cash payment that amount to at least an amount corresponding to EUR 15,000,
17. activities relating to the provision of payment services under the Payment Services Act (2010:751),
18. activities relating to the provision of payment services under the Payment Services Act (2010:751) conducted by other than payment institutions,
19. Management of alternative investment funds under the Alternative Investment Funds Managers Act (2013:561), or
20. activities relating to consumer credits under the Consumer Credit (Certain Activities) Act (2014:275). *Act (2014:278).*

**Section 2a** The provisions in Chapter 3, sections 1a, 4, 5 and 7 and Chapter 5, sections 1 and 2 shall be applied to operation of an auction platform for trading with emission rights as a regulated market under Article 26.1 of Commission Regulation (EC) no. 1031/2010 of 12 November 2010 on the timing, administration and other aspects of auctioning of greenhouse gas emission allowances pursuant to Directive 2003/87/EC of the European Parliament and of the Council establishing a scheme for greenhouse gas emission allowances trading within the Community. *Act (2012:376).*

**Section 3** Services referred to in section 2, points 13 and 14 include

1. acting on behalf of and for a client in any financial transactions or real estate transactions,
2. assisting in the planning and execution of transactions for their client concerning
  - a) buying and selling of real property or business entities,
  - b) managing of client money, securities or other assets,
  - c) opening or management of bank, savings or securities accounts,
  - d) acquisition of contributions necessary for the creation, operation or management of companies, or
  - e) creation, operation or management of companies, associations, foundations or trusts,Services referred to in section 2, point 15, include
  1. forming of legal entities, sale of newly formed limited companies and acting as intermediary for Swedish or foreign legal entities,
  2. performing the functions of a director or officer having legal responsibilities for a company, a partner of a partnership, or similar position in relation to other legal entities,
  3. providing a registered office or postal address and other related services for a legal entity or a trust or a similar legal arrangement
  4. management of a trust or a similar legal arrangement,
  5. acting as a nominee shareholder for the beneficial owner.

Translated by

*David Kendall*

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Authorised public translator

Swedish into English

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# APOSTILLE

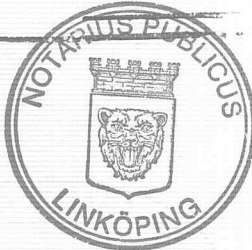
(Convention de La Haye du 5 octobre 1961)

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- 2. This public document has been signed by David Kendall
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- 7. by Notary Public  
Curt Benckert
- 8. N° 15259
- 9. Seal/stamp:

10. Signature:



154125  
2015 -11- 27



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